

**INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION**



(PTO-1449)

ATTY. DOCKET NO.
47271-072

SERIAL NO.
09/827,333

APPLICANT
Syed K. QURAISHI, et al.

FILING DATE
April 06, 2001

GROUP
2163

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>DMH</i>	5,101,353	3/31/92	Lupien et al.	—	—	
<i>DMH</i>	5,819,238	10/6/98	Fernholz	—	—	

RECEIVED
NOV 07 2001
Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>DMH</i>	V. Stamas, "Brokers may face mandatory job skills training", Public Finance Watch, 20 September 1993, Vol. 7, No. 35, page 9
	J. Michaels, "Buy side champions alternative trading systems", Wall Street & Technology, June 1993, Vol. 10, No. 11, pages 38-48

EXAMINER

DATE CONSIDERED

8/15/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.